DTOS Rec'd PCT/PTC U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE FORM PTO-1390 (Modified) REV. 07-2004) 15892.5 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/GB03/01013 March 10, 2003 March 13, 2002 TITLE OF INVENTION REMOTE POSITION CONTROL OF LIGHTING UNIT APPLICANT(S) FOR DO/EO/US Joseph Henry Ruston Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), 3.  $\Box$ (6), (9) and (24) indicated below. 4.  $\boxtimes$ The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) is attached hereto (required only if not communicated by the International Bureau). b. 🗆 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🗆 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). а. П is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. □ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7.  $\bowtie$ are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. c. 🗆 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 10. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14.  $\boxtimes$ A FIRST preliminary amendment. 15. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. A power of attorney and/or change of address letter. 18. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

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Other items or information:

I.S. APPLICATION NO TOW/ 5307 3 93	N NO.	15892.5					
10/50/393 PCT/GB03/01013			CALCULATIONS PTO USE ONLY				
ASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5))  Neither international preliminary examination international search fee (37 CFR 1.445(a)(2)) and International Search Report not prepared by	CALCULATIONS	PTO USE ONLY					
<ul> <li>✓ International preliminary examination fee (37 USPTO but International Search Report preparation of the present international preliminary examination fee (37 but international search fee (37 CFR 1.445(a)).</li> <li>☐ International preliminary examination fee (37 but all claims did not satisfy provisions of PC International preliminary examination fee (37 International</li></ul>	CFR 1.482) not paid to USPTO (2)) paid to USPTO	\$770.00					
and all claims satisfied provisions of PCT Art  ENTER APPROPRIA	\$920.00						
Surcharge of \$130.00 for furnishing the oath or declamonths from the earliest claimed priority date (37 Cl	\$0.00						
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	60.00				
Total claims 4 - 20 =	0	x \$18.00	\$0.00 \$0.00				
Independent claims 1 - 3 =	0	x \$86.00	\$0.00				
Multiple Dependent Claims (check if applicable).	ABOVE CALCULAT	TONS =	\$920.00				
Applicant claims small entity status. See 37 CF reduced by 1/2.	\$460.00						
SUBTOTAL =			\$460.00				
Processing fee of \$130.00 for furnishing the English months from the earliest claimed priority date (37 C	\$0.00						
	TOTAL NATIONA	LFEE =	\$460.00				
Fee for recording the enclosed assignment (37 CFR accompanied by an appropriate cover sheet (37 CFR	\$0.00						
	\$460.00 Amount to be: refunded	\$					
			charged	\$			
<ul> <li>a.  A check in the amount of to cover the above fees is enclosed.</li> <li>b.  Please charge my Deposit Account No in the amount of to cover the above fees.</li> </ul>							
c.  The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3178							
d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 1.137(a) or (b)) must be filed and granted to rest	37 CFR 1.494 or 1.495 has not core the International Applicat	been met, a petiti	on to revive (37 CF) tus.	R			
SEND ALL CORRESPONDENCE TO:		Nau	" 1 Tond	~			
Dana L. Tangren		SIGNATURE	1				
Registration No. 37,246	Registration No. 37,246						
022913 PATENT TRADEMARK OFFICE		NAME  37,246  REGISTRATION NUMBER					
CUSTOMER NO.  Q/10/04  DATE							

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			1 Cocket N 0 7 3 93		
Applicant(s): Jo Serial No.	Filing Date	Confirmation No.	Customer No. 022913	Examiner	Group Art Unit
Invention: REM	OTE POSITIO	N CONTROL OF LIC	SHTING UNIT		

I hereby certify that the following are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop: PCT, Commissioner for Patents, PO Box 1450, Arlington, Virginia 22313-1450.

DATED this 10<sup>TH</sup> day of September 2004.

- Transmittal Letter concerning filing under 35 USC § 371 (2 page) (in duplicate)
- Copy of International Application No. PCT/GB03/01013
- Copy of International Search Report
- Preliminary Amendment (5 pages)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$460.00
- Postcard

DANA L. TANGREN

(Typed or Printed Name of Person Mailing Correspondence)

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